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Jc957 U.S. PTO

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Jc921 U.S. PTO  
09/708918

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PATENTS, TRADEMARKS, COPYRIGHTS  
AND RELATED MATTERS

OF COUNSEL  
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STUART N. SENNIGER  
(1921-1997)

November 8, 2000

UTILITY PATENT APPLICATION TRANSMITTAL

(new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket Number: NVI 5009.1  
First Named Inventor: Julia J. Dibner  
Express Mail Label Number: EL615274458US

TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

APPLICATION ELEMENTS

1. ☒ Fee Transmittal Form  
(original and duplicate)
2. ☒ Application [Total Pages 41]
3. ☒ Drawings [Total Sheets 11]
4. Oath or Declaration [Total Pages     ]
  - a. ☐ Newly executed (original or copy)  
☒ New (unexecuted)
  - b. ☐ Copy from a prior application  
(for continuation/divisional with  
Box 17 completed)
    - i. ☐ DELETION OF INVENTOR(s)  
Signed statement attached  
deleting inventor(s) named  
in prior application.
5. ☐ Incorporation By Reference  
(useable if Box 4b is marked)

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleic Acid and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above  
copies

**ACCOMPANYING APPLICATION PARTS**

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☐ IDS with PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard
14. ☐ Small Entity Statement(s) enclosed  
☐ Entitlement to small entity status is hereby asserted  
on behalf of the applicant(s)
15. ☐ Certified Copy of Priority Document(s) if foreign  
priority is claimed
16. ☐ Other: \_\_\_\_\_

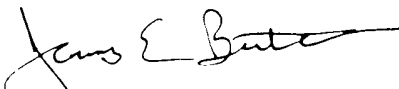
**IF A CONTINUING APPLICATION, CHECK APPROPRIATE  
BOXES AND SUPPLY THE REQUISITE INFORMATION**

17. ☐ Continuation ☐ Divisional ☐ Continuation-in-Part  
of prior application No.: \_\_\_\_\_
- ☒ Complete Application based on provisional Application  
No. 60/163,989 filed November 8, 2000

**CORRESPONDENCE ADDRESS**

18. Correspondence Address: Customer Number 321  
Attention: James E. Butler, Ph.D.

Respectfully submitted,



James E. Butler, Ph.D., Reg. No. 40,931

JEBmkd

FEE TRANSMITTAL

Application Number: Not Yet Assigned  
Filed: Herewith  
First Named Inventor: Julia J. Dibner  
Attorney Docket Number NVI 5009.1


**METHOD OF PAYMENT**

1. ☐ The Commissioner is hereby authorized to charge the indicated fees to Deposit Account No. 19-1345, in the name of Senniger, Powers, Leavitt & Roedel.  
☐ The Commissioner is hereby authorized to charge any additional filing and claim fees under 37 CFR 1.16 and application processing fees under 37 CFR 1.17 to Deposit Account No. 19-1345, in the name of Senniger, Powers, Leavitt & Roedel.
2. ☒ Check Enclosed. The Commissioner is hereby authorized to charge any under payment or credit any over payment to Deposit Account No. 19-1345, in the name of Senniger, Powers, Leavitt & Roedel.

**FEE CALCULATION**

1. ☒ BASIC FILING FEE \$ 710.00 (Type: Formal)  
Entity Status: Large
2. ☒ CLAIM FEE \$ 1,012.00  
Total Claims 54  
Independent Claims 3  
Multiple Dependent Claims \_\_\_\_\_
3. ☐ ADDITIONAL FEES \$ \_\_\_\_\_  
☐ Surcharge - late filing fee or oath  
☐ Surcharge - late provisional filing fee or cover sheet  
☐ Extension for reply within \_\_\_\_\_ month  
☐ Notice of Appeal  
☐ Filing a Brief in Support of an appeal  
☐ Request for Reexamination  
☐ Petitions to the Commissioner  
☐ Submission of Information Disclosure Statement  
☐ Recording each patent assignment per property  
☐ Other: \_\_\_\_\_

TOTAL AMOUNT OF PAYMENT \$ 1,722.00

  
James E. Butler, Ph.D., Reg. No. 40,931

11/8/00  
Date

JEBmkd